

## Zeta functions of singular curves over finite fields

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**ABSTRACT.** Let  $X$  be a complete, geometrically irreducible, algebraic curve defined over a finite field  $\mathbb{F}_q$  and let  $\zeta(X, t)$  be its zeta function [Ser1]. If  $X$  is a singular curve, two other zeta functions exist. The first is the Dirichlet series  $Z(\text{Ca}(X), t)$  associated to the effective Cartier divisors on  $X$ ; the second is the Dirichlet series  $Z(\text{Div}(X), t)$  associated to the effective divisors on  $X$ . In this paper we generalize F. K. Schmidt's results on the rationality and functional equation of the zeta function  $\zeta(X, t)$  of a non-singular curve to the functions  $Z(\text{Ca}(X), t)$  and  $Z(\text{Div}(X), t)$  by means of the singular Riemann-Roch theorem.

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In [G] Galkin introduced a zeta function for orders in global fields which coincides with Schmidt's zeta function in the case of a non-singular curve but satisfies a functional equation only in the Gorenstein case. Green [Gr] obtained a functional equation by slightly modifying the definition of the zeta function of Galkin. However, this new zeta function is not uniquely determined by the curve. Finally, Stöhr [Sto] defines the zeta function  $Z(\text{Div}(X), t)$  which coincides with the zeta function of Galkin in the Gorenstein case and always satisfies a functional equation ([Sto, p. 133]). In [Z] we studied the local factors of the zeta function  $Z(\text{Ca}(X), t)$  using an adelic approach a la Tate. As a consequence we obtained the rationality and a global functional equation of

$Z(\text{Ca}(X), t)$  ([Z, thm. 5.3]). In this paper we generalize F. K. Schmidt's results on the rationality and functional equation of the zeta function  $\zeta(X, t)$  of a non-singular curve to the zeta functions  $Z(\text{Ca}(X), t)$  and  $Z(\text{Div}(X), t)$  using an approach based on the singular Riemann-Roch theorem. This allows us to find the residue at  $s = 0$  of the zeta function  $Z(\text{Ca}(X), q^{-s})$  and  $Z(\text{Div}(X), t)$  (see thms. 2.1, 2.2, 3.1).

## 1. Preliminaries

In this section we present the basic facts about singular curves and zeta functions (cf. e.g. [Ros1], [Ros2], [Ser2], [Sto]).

Let  $X$  be a complete, geometrically irreducible, algebraic curve defined over a field  $k$ . We denote by  $K = k(X)$  the function field of  $X$  over  $k$ ,  $g$  will stand for its arithmetic genus,  $\tilde{g}$  for its geometric genus,  $\tilde{X}$  will be the normalization of  $X$  over  $k$  (also named the non-singular model of  $X$ ) and  $\pi : \tilde{X} \rightarrow X$  will denote the normalization map. The regular surjective map  $\pi$  is birational. In particular, the function field of the smooth curve  $\tilde{X}$  is  $K/k$ .

By a divisor of  $X$  we mean a coherent fractional ideal sheaf or, equivalently, a formal product

$$\mathcal{A} = \prod_{P \in X} \mathcal{A}_P,$$

where for each point  $P$  the  $P$ -component  $\mathcal{A}_P$  (i.e. the stalk of  $\mathcal{A}$  at  $P$ ) is a non-zero fractional ideal of  $\mathcal{O}_P$  and  $\mathcal{A}_P = \mathcal{O}_P$  for all but finitely many points. Given two divisors  $\mathcal{A}$  and  $\mathcal{B}$ , we define pointwise the product divisor  $\mathcal{A}\mathcal{B}$  and the quotient divisor  $\mathcal{A} : \mathcal{B}$  by

$$(\mathcal{A}\mathcal{B})_P := \mathcal{A}_P\mathcal{B}_P,$$

and

$$(\mathcal{A} : \mathcal{B})_P := \{z \in K \mid z\mathcal{B}_P \subseteq \mathcal{A}_P\}.$$

We denote by  $\text{Div}(X)$  the set of divisors of  $X$ . A divisor  $\mathcal{A}$  is called a locally principal or a Cartier divisor if each  $\mathcal{A}_P$  is a principal fractional ideal. The Cartier divisors form a multiplicative group having the structure divisor

$$\mathcal{O} := \prod_{P \in X} \mathcal{O}_P,$$

as the identity. We denote by  $\text{Ca}(X)$  the group of the Cartier divisors. We define a partial order on  $\text{Div}(X)$  by

$$\mathcal{A} \leq \mathcal{B} \iff \mathcal{A}_P \subseteq \mathcal{B}_P \quad \text{for all } P \in X.$$

A divisor  $\mathcal{A}$  is called effective if  $\mathcal{A} \geq \mathcal{O}$ . For our purposes, it is more convenient to work with the above ordering than with the usual one.

The degree of a divisor is uniquely defined by the following properties:

- (i)  $\deg(\mathcal{O}) = 0$ .
- (ii)  $\deg(\mathcal{B}) - \deg(\mathcal{A}) = \sum_{P \in X} \dim_k(\mathcal{B}_P/\mathcal{A}_P)$  whenever  $\mathcal{B} \geq \mathcal{A}$ .

We observe that in general  $\deg(\mathcal{A}\mathcal{B}) \neq \deg(\mathcal{A})\deg(\mathcal{B})$  (cf. [Ha, sect. 1]). However, if at least one of the divisors  $\mathcal{A}$  or  $\mathcal{B}$  is a Cartier divisor then the equality holds.

For each non-zero rational function  $z \in K^*$ , let  $\text{div}(z)$  be its principal divisor, i.e.,

$$\text{div}(z) := \prod_{P \in X} z^{-1} \mathcal{O}_P.$$

We denote by  $\text{Prin}(X)$  the subgroup of principal divisors of  $X$ .

Let

$$L(\mathcal{A}) := \bigcap_{P \in X} \mathcal{A}_P = \{z \in K \mid \text{div}(z)\mathcal{A} \geq \mathcal{O}\}$$

be the  $k$ -vector space of global sections of  $\mathcal{A}$  (also denoted by  $H^0(X, \mathcal{A})$ ). We denote the dimension of the above  $k$ -vector space by  $\ell(\mathcal{A})$  (also denoted by  $h^0(X, \mathcal{A})$ ).

The Riemann-Roch theorem for function fields was generalized by Rosenlicht to curves with singularities (cf. e.g. [Ros1], [Sto]).

**Theorem 1.1.** (*Riemann-Roch theorem for singular curves*). *Each divisor  $\mathcal{A}$  of  $X$  satisfies*

$$\ell(\mathcal{A}) = \deg(\mathcal{A}) + 1 - g + \ell(\mathcal{C} : \mathcal{A}),$$

where  $\mathcal{C}$  denotes the canonical divisor of  $X$ .

The local duality theorem also generalizes to singular curves (cf. e.g. [Sto, thm. 1.5])

**Theorem 1.2.** (*Local duality*). *Let  $\mathcal{A}, \mathcal{B}$  be divisors of  $X$  such that  $\mathcal{A} \geq \mathcal{B}$ . Then for each point  $P$  we have the  $k$ -isomorphism*

$$(\mathcal{C}_P : \mathcal{B}_P)/(\mathcal{C}_P : \mathcal{A}_P) \xrightarrow{\cong} \text{Hom}_k(\mathcal{A}_P/\mathcal{B}_P, k).$$

As a consequence of the theorem of local duality, we get the reciprocity formula (cf. e.g. [Sto, sect. 1.7]).

**Corollary 1.3.** (*Reciprocity formula*). For each divisor  $\mathcal{A}$ ,

$$\mathcal{C} : (\mathcal{C} : \mathcal{A}) = \mathcal{A}.$$

From now on, we understand point to mean closed point. Let  $P$  be a point of  $X$  and  $\mathcal{O}_P$  be the local ring of  $X$  at  $P$ . Let  $Q_1, Q_2, \dots, Q_d$  be the points of  $\tilde{X}$  lying over  $P$ , i.e.,  $\pi^{-1}(P) = \{Q_1, \dots, Q_d\}$ , and let  $\mathcal{O}_{Q_1}, \dots, \mathcal{O}_{Q_d}$  be the

corresponding local rings at these points. Since the function fields of  $X$  and  $\tilde{X}$  are the same and  $\tilde{X}$  is a non-singular curve, the local rings  $\mathcal{O}_{Q_1}, \dots, \mathcal{O}_{Q_d}$  are valuation rings of  $K/k$  over  $\mathcal{O}_P$ . The integral closure of  $\mathcal{O}_P$  in  $K$  is  $\tilde{\mathcal{O}}_P = \bigcap_{Q \in \pi^{-1}(P)} \mathcal{O}_Q$ .

The degree of singularity of  $X$  at  $P$  is defined as

$$\delta_P = \dim_k(\tilde{\mathcal{O}}_P/\mathcal{O}_P).$$

By Theorem. 1 in [Ros1],  $\delta_P < \infty$ . The total degree of singularity of  $X$  is defined as

$$\delta = \sum_{P \in X} \delta_P.$$

The degree of singularity  $\delta_P$  remains invariant under completion. The total degree of singularity  $\delta$  remains invariant under separable constant extensions (cf. [Ros1, thm. 12]).

We recall that the genus formula of a complete, geometrically irreducible, algebraic curve  $X$  is (see [H])

$$g = \tilde{g} + \sum_{P \in X} \delta_P,$$

where  $\tilde{g}$  is the geometric genus of  $X$ .

The conductor ideal  $\mathcal{F}_P$  of  $\tilde{\mathcal{O}}_P$  in  $\mathcal{O}_P$  is defined as

$$\mathcal{F}_P = \{x \in K \mid x\tilde{\mathcal{O}}_P \subseteq \mathcal{O}_P\}.$$

This ideal is the largest common ideal of  $\tilde{\mathcal{O}}_P$  and  $\mathcal{O}_P$ . Furthermore, since  $\delta_P < \infty$ , then  $\mathcal{F}_P \neq 0$ . On the other hand,  $\tilde{\mathcal{O}}_P$  is a Dedekind domain with a finite number of maximal ideals. Thus,  $\mathcal{F}_P$  is an  $\tilde{\mathcal{O}}_P$ -principal ideal. The degree of the conductor ideal  $\mathcal{F}_P$  is defined as

$$\deg \mathcal{F}_P := \dim_k(\tilde{\mathcal{O}}_P/\mathcal{F}_P).$$

The degree of  $\mathcal{F}_P$  is also invariant under completions and under separable constant extensions.

We say that a local ring  $\mathcal{O}_P$  is Gorenstein if  $\deg \mathcal{F}_P = 2\delta_P$ . An algebraic curve is called a Gorenstein curve if all its local rings are Gorenstein.

The dualizing sheaf  $\omega_X$  is locally free of rank 1 if and only if  $X$  is a Gorenstein curve (cf. [A-K, chap. VIII, prop. 1.16]). We denote by  $\mathcal{C}$  the canonical divisor of a complete, geometrically irreducible, algebraic curve  $X$ . Then  $\mathcal{C}_P$  is a principal ideal if and only if  $\omega_{X,P}$  is free of rank 1. Summarizing, we have the following result of Rosenlicht.

**Theorem 1.4.** *Let  $X$  be a complete, geometrically irreducible, algebraic curve defined over  $k$ . The curve  $X$  is Gorenstein if and only if its canonical divisor is a Cartier divisor.*

We denote by  $\text{Ca}^0(X)$  the subgroup of degree zero divisors of  $\text{Ca}(X)$ , by  $\text{Pic}(X)$  the quotient group  $\text{Ca}(X)/\text{Prin}(X)$  and by  $\text{Pic}^0(X)$  the quotient group

$$\text{Ca}^0(X)/\text{Prin}(X).$$

Let  $\bar{k}$  be an algebraic closure of  $k$ .  $\text{Pic}^0(X \otimes_k \bar{k})$  is the *generalized Jacobian* of  $X \otimes_k \bar{k}$  (see [Ser2], [Ros2]). If  $k$  is a finite field  $\text{Pic}^0(\tilde{X})$  is the group of rational points of  $\text{Pic}^0(X \otimes_k \bar{k})$ . The generalized Jacobian can be enlarged to a projective algebraic scheme, called the *compactified Jacobian*, which parametrizes the equivalence classes of zero degree divisors of  $X \otimes_k \bar{k}$ . The rational points of the compactified Jacobian correspond bijectively to equivalence classes of zero degree divisors of  $X$ .

## 2. Rationality and functional equation of $Z(\text{Ca}(X), t)$

In this section we present a generalization of results of F. K. Schmidt on the rationality and on the functional equation of  $\zeta(X, t)$  to the function  $Z(\text{Ca}(X), t)$  (cf. [Sti, chap. V]). The zeta function  $Z(\text{Ca}(X), t)$  is defined as follows:

$$Z(\text{Ca}(X), t) := \sum_{\mathcal{A} \geq \mathcal{O}} t^{\deg(\mathcal{A})},$$

where  $\mathcal{A}$  runs through all Cartier divisors on  $X$  and  $t = q^{-s}$ ,  $s \in \mathbb{C}$ ,  $\text{Re}(s) > 0$ . We shall see later on that  $Z(\text{Ca}(X), t)$  converges analytically and uniformly on the semiplane  $\text{Re}(s) > 0$ . This zeta function decomposes formally into an Euler product

$$Z(\text{Ca}(X), t) = \prod_{P \in X} Z(\mathcal{O}_P, t) = \prod_{P \in X} \left( \sum_{I \supseteq \mathcal{O}_P} t^{\dim_k(I/\mathcal{O}_P)} \right), \quad (2.1)$$

and where  $I$  runs through all fractional principal ideals of  $\mathcal{O}_P$ , such that  $I \supseteq \mathcal{O}_P$ . By comparing product (2.1) with  $\zeta(\tilde{X}, t) = Z(\text{Ca}(\tilde{X}), t)$ , we see that it converges on the semiplane  $\text{Re}(s) > 0$ . On the other side, the correspondence  $I \rightarrow I^{-1}$  is a bijection between the principal fractional ideals containing  $\mathcal{O}_P$  and the principal ideals contained in  $\mathcal{O}_P$ . Furthermore, this correspondence preserves degrees, therefore, we have that

$$Z(\mathcal{O}_P, t) = \sum_{I \subseteq \mathcal{O}_P} t^{\dim_k(\mathcal{O}_P/I)}.$$

We observe that if  $P$  is a smooth point of  $X$ , then  $Z(\mathcal{O}_P, t) = (1 - t^{\deg(P)})^{-1}$ , where  $\deg(P)$  is the cardinality of the residue field of  $P$ .

**Theorem 2.1.** *Let  $X$  be a complete, geometrically irreducible algebraic curve over a finite field  $k = \mathbb{F}_q$ . Then the zeta function  $Z(\text{Ca}(X), t)$  is a rational function. More precisely,*

$$Z(\text{Ca}(X), t) = \frac{L(\text{Ca}(X), t)}{(1-t)(1-qt)},$$

where  $L(\text{Ca}(X), t) \in \mathbb{Z}[t]$  is a polynomial of degree at most  $2g$ , and  $L(\text{Ca}(X), 1) = \#\text{Pic}^0(X)$ , i.e.  $L(\text{Ca}(X), 1)$  is the number of rational points of the generalized Jacobian of  $X \otimes_k \bar{k}$ .

*Proof.*

In order to generalize the proof of F.K. Schmidt we need to prove the following three claims.

*Claim 1.*

$$\#\text{Pic}^0(X) < \infty. \quad (2.2)$$

*Claim 2.* *There exists a Cartier divisor of degree 1.*

*Claim 3.* *For any integer  $d$ , the number of divisor classes in  $\text{Pic}(X)$  of degree  $d$  is independent of  $d$  and is equal to the cardinality of  $\text{Pic}^0(X)$ .*

After this, we can follow the argument of F.K. Schmidt as in [Sti, chap. V]. It is important to note that in this argument it is irrelevant to know whether a canonical divisor is a Cartier divisor or not. We denote by  $[\mathcal{A}]$  the linear equivalence class of a divisor  $\mathcal{A}$  and by  $\pi^*(\mathcal{A})$  the pullback of  $\mathcal{A}$ .

To establish claim 1, we first observe that  $\text{Pic}^0(\tilde{X})$  is a finite group (more precisely, the group of divisor classes of degree zero of  $K$  (cf. [Sti, chap. V]), so, it is sufficient to show that the kernel of the morphism

$$\begin{aligned} \pi_0^* : \text{Pic}^0(X) &\longrightarrow \text{Pic}^0(\tilde{X}) \\ \pi_0^*([\mathcal{A}]) &\longmapsto [\pi^*(\mathcal{A})], \end{aligned}$$

is a finite group.

We note that  $[\mathcal{A}] \in \ker(\pi_0^*)$  if and only if  $\pi^*(\mathcal{A})$  is a principal divisor of  $\tilde{X}$ , i.e.

$$\pi^*(\mathcal{A})_Q = z^{-1}\mathcal{O}_Q = \mathcal{A}_P\mathcal{O}_Q, \quad (2.3)$$

for some  $z \in K^*$  and every point  $Q$  of  $\tilde{X}$  lying over  $P$ . Therefore

$$\mathcal{F}_P \subseteq z\mathcal{A}_P \subseteq \tilde{\mathcal{O}}_P.$$

Since  $\deg \mathcal{F}_P < \infty$ , the above relation implies that the kernel of  $\pi_0^*$  contains only a finite number of linear equivalence classes. The equivalence class of an effective Cartier divisor  $\mathcal{A}$  contains  $\frac{q^{\ell(\mathcal{A})}-1}{q-1}$  linearly equivalent Cartier divisors. Therefore the kernel of  $\pi_0^*$  is a finite group.

The second claim can be reduced to the non-singular case using the fact that the morphism  $\pi^* : \text{Ca}(X) \rightarrow \text{Ca}(\tilde{X})$  is surjective and preserves degrees. The preservation of the degree of a Cartier divisor under  $\pi^*$  follows from the fact that  $\dim_k \mathcal{O}_P/h\mathcal{O}_P = \dim_k \tilde{\mathcal{O}}_P/h\tilde{\mathcal{O}}_P$ , for every non-zero rational function  $h$  of  $X$ . Thus, there exists a Cartier divisor of degree 1.

We observe that claim 1 and claim 2 imply that the number of effective Cartier divisors with a given degree is finite. Using the same argument as in the non-singular case, we prove that  $Z(\text{Ca}(X), t)$  converges absolutely and uniformly on the semiplane  $\text{Re}(s) > 0$  (cf. [Sti, chap. V, prop. 1.6]).

The last claim follows from claim 2 and the fact that  $\deg(\mathcal{A}\mathcal{B}) = \deg(\mathcal{A}) + \deg(\mathcal{B})$ , for any two Cartier divisors  $\mathcal{A}$  and  $\mathcal{B}$  (see [Ha, sect. 1]).  $\square$

**Corollary 2.2.** *The quotient  $\frac{Z(\mathcal{O}_P, t)}{Z(\tilde{\mathcal{O}}_P, t)}$  is a rational function of  $t$ .*

*Proof.* By taking a partial resolution of singularities of  $X$ , we may assume that  $P$  is the only singular point of  $X$ . Then

$$\frac{Z(\text{Ca}(X), t)}{Z(\text{Ca}(\tilde{X}), t)} = \prod_{Q \in \pi^{-1}(P)} (1 - t^{\deg(Q)}) Z(\mathcal{O}_P, t) = \frac{Z(\mathcal{O}_P, t)}{Z(\tilde{\mathcal{O}}_P, t)}.$$

The result thus follows from the previous theorem.  $\square$

**Theorem 2.3.** *Let  $X$  be a complete, geometrically irreducible, algebraic curve defined over a finite field  $k = \mathbb{F}_q$ . Then the zeta function  $Z(\text{Ca}(X), t)$  satisfies the functional equation*

$$Z(\text{Ca}(X), t) = q^{g-1} t^{2g-2} Z\left(\text{Ca}(X), \frac{1}{qt}\right) \quad (2.3)$$

if and only if  $X$  is a Gorenstein curve.

*Proof.* If  $X$  is a Gorenstein curve, the argument of Schmidt for the non-singular case, the reciprocity (Corollary 1.2), and the observations made in the proof of Lemma 2.1 imply the functional equation (2.3) (see [Sti, chap. V, prop. 1.13]). Conversely, if the zeta function  $Z(\text{Ca}(X), t)$  satisfies the functional equation (2.3) and  $g \geq 1$ , then the Riemann-Roch theorem and (2.2) imply that

$$Z(\text{Ca}(X), t) = Z_1(X, t) + Z_2(X, t)$$

with

$$Z_1(X, t) = \frac{1}{q-1} \sum_{0 \leq \deg[\mathcal{A}] \leq 2g-2} q^{\ell(\mathcal{A})} t^{\deg(\mathcal{A})} = \frac{1}{q-1} \sum_{j=0}^{2g-2} a_j t^j \quad (2.4)$$

and

$$\begin{aligned} Z_2(X, t) &= \frac{1}{q-1} \sum_{\deg[\mathcal{A}] \geq 2g-1} q^{\ell(\mathcal{A})} t^{\deg(\mathcal{A})} - \frac{1}{q-1} \sum_{\deg[\mathcal{A}] \geq 0} t^{\deg(\mathcal{A})} \\ &= \frac{1}{q-1} \left( \#\text{Pic}^0(X) q^g t^{2g-1} \frac{1}{1-qt} - \frac{\#\text{Pic}^0(X)}{1-t} \right). \end{aligned} \quad (2.5)$$

By hypothesis,  $Z(\text{Ca}(X), t)$  satisfies the functional equation (2.3). A direct verification shows that the function  $Z_2(X, t)$  also satisfies the functional equation (2.3). Therefore,  $Z_1(X, t)$  satisfies the functional equation (2.3). This implies that

$$a_{2g-2-j} = a_j q^{g-1-j}, \quad j = 0, 1, \dots, 2g-2.$$

On the other hand,  $a_0 = 1$ . Thus,  $a_{2g-2} = q^{g-1}$ . Since  $g \geq 1$ , a divisor class  $[\mathcal{C}]$  with  $\deg(\mathcal{C}) = 2g-2$  and  $\ell(\mathcal{C}) = g$  must appear in the sum in (2.4). These properties characterize the canonical class. Hence, by theorem 1.4,  $X$  is Gorenstein. In the case  $g = 0$ , the genus formula implies  $\delta = 0$ , so that  $X$  is a non-singular curve. Thus, in this case, also  $X$  is Gorenstein.  $\checkmark$

As a consequence of the functional equation (2.3), the degree of the polynomial  $L(\text{Ca}(X), t)$  is  $2g$ .

**Corollary 2.4.** *The zeta function  $Z(\mathcal{O}_P, t)$  satisfies the functional equation*

$$\frac{Z(\mathcal{O}_P, t)}{Z(\tilde{\mathcal{O}}_P, t)} = q^{\delta_P} t^{2\delta_P} \frac{Z(\mathcal{O}_P, \frac{1}{qt})}{Z(\tilde{\mathcal{O}}_P, \frac{1}{qt})}$$

if and only if  $\mathcal{O}_P$  is Gorenstein.

### 3. Rationality and functional equation of $Z(\text{Div}(X), t)$

In this section, we study the rationality and functional equation of the zeta function  $Z(\text{Div}(X), t)$  associated to the set of divisors  $\text{Div}(X)$ . This zeta function is defined as follows:

$$Z(\text{Div}(X), t) = \sum_{\mathcal{A} \geq \mathcal{O}} t^{\deg(\mathcal{A})},$$

where  $\mathcal{A}$  runs through all effective divisors on  $X$  and  $t = q^{-s}$ ,  $s \in \mathbb{C}$ ,  $\text{Re}(s) > 0$ . This zeta function decomposes formally into the following Euler product

$$Z(\text{Div}(X), t) = \prod_{P \in X} Z(\mathcal{O}_P, t) = \prod_{P \in X} \left( \sum_{I \supseteq \mathcal{O}_P} t^{\dim_k(I/\mathcal{O}_P)} \right),$$

where  $I$  runs through all  $\mathcal{O}_P$ -fractional ideals containing  $\mathcal{O}$ .



**Theorem 3.1.** *Let  $X$  be a complete, geometrically irreducible algebraic curve over a finite field  $k = \mathbb{F}_q$ . Then the zeta function  $Z(\text{Ca}(X), t)$  is a rational function. More precisely,*

$$Z(\text{Ca}(X), t) = \frac{L(\text{Div}(X), t)}{(1-t)(1-qt)},$$

where  $L(\text{Div}(X), t) \in \mathbb{Z}[t]$  is a polynomial of degree at most  $2g$ , and  $L(\text{Ca}(X), 1) = \#Cl^0(X)$ , the number of classes of divisors of degree zero, i.e.  $L(\text{Div}(X), 1)$  is the number of rational points of the compactified Jacobian of  $X \otimes_k \bar{k}$ .

*Proof.* The proof is similar to the proof of theorem 2.1. In order to prove that

$$\#Cl^0(X) = \text{Div}^0(X)/\text{Prin}(X) < \infty,$$

we observe that  $\text{Pic}^0(X)$  acts on  $Cl^0(X)$  by multiplication and the quotient set is isomorphic to

$$\left\{ \prod_{P \in X_{\text{sing}}} \mathcal{A}_P \mid \mathcal{A}_P \text{ is an ideal in } \mathcal{O}_P \text{ and } \mathcal{F}_P \subseteq \mathcal{A}_P \subseteq \tilde{\mathcal{O}}_P \right\}. \quad (3.1)$$

Since  $\dim_k(\tilde{\mathcal{O}}_P/\mathcal{F}_P) < \infty$  and  $\#\text{Pic}^0(X) < \infty$ , we conclude from (3.1) that  $\#Cl^0(X) < \infty$ . Now we can follow the proof of theorem 2.1.  $\square$

The zeta function  $Z(\text{Div}(X), t)$  satisfies the functional equation (2.3). The proof of this fact follows from the singular Riemann-Roch theorem and the reciprocity, by a similar reasoning to that of Schmidt (see [Sti, chap. V]). Stöhr defined  $Z(\text{Div}(X), t)$  and proved that  $Z(\text{Div}(X), t)$  satisfies the functional equation (2.4) (cf. [Sto, p. 133]).

The local factors of  $Z(\text{Div}(X), t)$  are rational functions (as in Corollary 2.2) and satisfy a functional equation (as in Corollary 2.4). The functional equation (2.3) implies that the degree of the polynomial  $L(\text{Div}(X), t)$  is  $2g$ .

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